PATENT COOPERATION TREATY

PCT

INTERNATIONAL SEARCH REPORT

(PCT Article 18 and Rules 43 and 44)

Applicant's or agent's file reference FOR FURTHER see Notification of Transmittal of International Search Report (Form PCT/ISA/220) as well as, where applicable, item 5 below.							
440325/PALL ACTION							
International application No. International filing date (day/month/year) (Earliest) Priority Date (day/month/year)							
PCT/US 00/ 25092 14/09/2000 17/09/1999							
Applicant	-	"					
PALL CORPORATION							
This International Search Report has bee according to Article 18. A copy is being tra	n prepared by this International Searching Auth ansmitted to the International Bureau.	nority and is transmitted to the applicant					
This International Search Report consists	of a total of 3 sheets.						
· · · · · · · · · · · · · · · · · · ·	a copy of each prior art document cited in this	report.					
Basis of the report							
With regard to the language, the language in which it was filed, unl	international search was carried out on the bas ess otherwise indicated under this item.	sis of the international application in the					
the international search w Authority (Rule 23.1(b)).	ras carried out on the basis of a translation of the	he international application furnished to this					
b. With regard to any nucleotide an was carried out on the basis of the		ternational application, the international search					
_	onal application in written form.						
filed together with the inte	rnational application in computer readable form	n.					
	this Authority in written form.						
	this Authority in computer readble form.						
international application a	osequently furnished written sequence listing d is filed has been furnished.	oes not go beyond the disclosure in the					
the statement that the info furnished	ormation recorded in computer readable form is	s identical to the written sequence listing has been					
2. Certain claims were fou	nd unsearchable (See Box I).						
3. Unity of invention is lac	king (see Box II).						
4. With regard to the title ,							
X the text is approved as su	bmitted by the applicant.						
the text has been establis	hed by this Authority to read as follows:						
5. With regard to the abstract,	hmitted by the applicant						
the text is approved as submitted by the applicant. the text has been established, according to Rule 38.2(b), by this Authority as it appears in Box III. The applicant may, within one month from the date of mailing of this international search report, submit comments to this Authority.							
6. The figure of the drawings to be publ	ished with the abstract is Figure No.	1					
X as suggested by the appli	cant.	None of the figures.					
because the applicant fail	• •						
because this figure better	characterizes the invention.						

Intern: I Application No PCT/US 00/25092

A. CLASSIFICATION OF SUBJECT MATTER
IPC 7 G01N33/28 G01N15/14

According to International Patent Classification (IPC) or to both national classification and IPC

B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols) IPC 7 G01N

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

EPO-Internal, PAJ, WPI Data, INSPEC

Category °	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
Х	WO 96 31764 A (KLEIN CORNELIS ;ALFA LAVAL AGRI AB (SE)) 10 October 1996 (1996-10-10) figure 1C; example 4D	1-45
X	KORANE K J: "KEEPING A WATCHFUL EYE ON HYDRAULIC CONTAMINATION" MACHINE DESIGN,US,PENTON,INC. CLEVELAND, vol. 68, no. 3, 8 February 1996 (1996-02-08), pages 43-44,46, XP000581096 ISSN: 0024-9114 the whole document	1-45
Α	EP 0 766 086 A (NGK INSULATORS LTD) 2 April 1997 (1997-04-02) the whole document	1-45

L	
Further documents are listed in the continuation of box C.	Y Patent family members are listed in annex.
Special categories of cited documents: 'A' document defining the general state of the art which is not considered to be of particular relevance 'E' earlier document but published on or after the international filing date 'L' document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified) 'O' document referring to an oral disclosure, use, exhibition or other means 'P' document published prior to the international filing date but later than the priority date claimed	 "T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention "X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone "Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art. "&" document member of the same patent family
Date of the actual completion of the international search 21 December 2000	Date of mailing of the international search report 02/01/2001
Name and mailing address of the ISA European Patent Office, P.B. 5818 Patentlaan 2 NL - 2200 HV Rijswijk Tel. (+31-70) 340-2040, Tx. 31 651 epo nl, Fax: (+31-70) 340-3016	Authorized officer Müller, T

Intern: I Application No
PCT/US 00/25092

Category* Citation of document, with Indication, where appropriate, of the relevant passages Relevant to claim No. A
A WO 98 46984 A (ALTEMOSE GEORGE A ; PALL CORP (US); DOLPH DOUGLAS R (US); GEDDE JOH) 22 October 1998 (1998-10-22) cited in the application
JOH) 22 October 1998 (1998-10-22) cited in the application

information on patent family members

Intern II Application No PCT/US 00/25092

Patent document cited in search report		Publication date	Patent family member(s)		Publication date
WO 9631764	А	10-10-1996	NZ AU AU	270877 A 719048 B 5293596 A	26-08-1998 04-05-2000 23-10-1996
			CA EP JP	2217476 A 0871858 A 11503236 T	10-10-1996 21-10-1998 23-03-1999
EP 0766086	Α	02-04-1997	NO JP	974597 A 9138192 A	03-12-1997
WO 9846984	Α	22-10-1998	EP	0975955 A	02-02-2000

PATINT COOPERATION TREATY

To:

From the	INTERN	ATIONAL	BUREAU
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PCT

NOTIFICATION OF ELECTION

(PCT Rule 61.2)

Commissioner
US Department of Commerce
United States Patent and Trademark
Office, PCT
2011 South Clark Place Room
CP2/5C24
Arlington, VA 22202
ETATS-UNIS D'AMERIQUE

Date of mailing (day/month/year)
15 August 2001 (15.08.01)

International application No.
PCT/US00/25092

International filing date (day/month/year)
14 September 2000 (14.09.00)

Applicant

BENSCH, Leonard, E. et al

1.	The designated Office is hereby notified of its election made:
	X in the demand filed with the International Preliminary Examining Authority on:
	17 April 2001 (17.04.01)
	in a notice effecting later election filed with the International Bureau on:
2.	The election X was
	was not
	made before the expiration of 19 months from the priority date or, where Rule 32 applies, within the time limit under Rule 32.2(b).
	•

The International Bureau of WIPO 34, chemin des Colombettes 1211 Geneva 20, Switzerland Authorized officer

Maria Kirchner

Telephone No.: (41-22) 338.83.38

Facsimile No.: (41-22) 740.14.35

To:

From the INTERNATIONAL BUREAU

PCT

NOTIFICATION CONCERNING **SUBMISSION OR TRANSMITTAL** OF PRIORITY DOCUMENT

(PCT Administrative Instructions, Section 411)

BELZ, John, M. LEYDIG, VOIT & MAYER, LTD 700 Thirteenth Street, N.W. Suite 300 Washington, DC 20005 **ETATS-UNIS D'AMERIQUE**

Date of mailing (day/month/year) 08 December 2000 (08.12.00)		
Applicant's or agent's file reference 440325/PALL	IMPORTANT NOTIFICATION	
International application No. PCT/US00/25092	International filing date (day/month/year) 14 September 2000 (14.09.00)	
International publication date (day/month/year) Not yet published	Priority date (day/month/year) 17 September 1999 (17.09.99)	

- PALL CORPORATION et al
- The applicant is hereby notified of the date of receipt (except where the letters "NR" appear in the right-hand column) by the International Bureau of the priority document(s) relating to the earlier application(s) indicated below. Unless otherwise indicated by an asterisk appearing next to a date of receipt, or by the letters "NR", in the right-hand column, the priority document concerned was submitted or transmitted to the International Bureau in compliance with Rule 17.1(a) or (b).
- This updates and replaces any previously issued notification concerning submission or transmittal of priority documents.
- An asterisk(*) appearing next to a date of receipt, in the right-hand column, denotes a priority document submitted or transmitted to the International Bureau but not in compliance with Rule 17.1(a) or (b). In such a case, the attention of the applicant is directed to Rule 17.1(c) which provides that no designated Office may disregard the priority claim concerned before giving the applicant an opportunity, upon entry into the national phase, to furnish the priority document within a time limit which is reasonable under the circumstances.
- The letters "NR" appearing in the right-hand column denote a priority document which was not received by the International Bureau or which the applicant did not request the receiving Office to prepare and transmit to the International Bureau, as provided by Rule 17.1(a) or (b), respectively. In such a case, the attention of the applicant is directed to Rule 17.1(c) which provides that no designated Office may disregard the priority claim concerned before giving the applicant an opportunity, upon entry into the national phase, to furnish the priority document within a time limit which is reasonable under the circumstances.

Country or regional Office Date of receipt Priority application No. **Priority date** of priority document or PCT receiving Office 05 Dece 2000 (05.12.00) 17 Sept 1999 (17.09.99) 60/154,597 US

The International Bureau of WIPO 34, chemin des Colombettes 1211 Geneva 20, Switzerland

Authorized officer

Taieb Akremi

Telephone No. (41-22) 338.83.38 Facsimile No. (41-22) 740.14.35

Form PCT/IB/304 (July 1998)

PCT

NOTICE INFORMING THE APPLICANT OF THE COMMUNICATION OF THE INTERNATIONAL APPLICATION TO THE DESIGNATED OFFICES

(PCT Rule 47.1(c), first sentence)

From the INTERNATIONAL BUREAU

BELZ, John, M. Leydig, Voit & Mayer, Ltd. 700 Thirteenth Street, N.W. Suite 300 Washington, DC 20005 **ETATS-UNIS D'AMERIQUE**

APR - 3 2001

Date of mailing (day/month/year) 22 March 2001 (22.03.01)

Applicant's or agent's file reference

440325/PALL

International application No. PCT/US00/25092

International filing date (day/month/year) Priority date (day/month/year)

14 September 2000 (14.09.00)

IMPORTANT NOTICE

17 September 1999 (17.09.99)

Applicant

PALL CORPORATION et al

1. Notice is hereby given that the International Bureau has communicated, as provided in Article 20, the international application to the following designated Offices on the date indicated above as the date of mailing of this Notice: AU, KP, KR, US

In accordance with Rule 47.1(c), third sentence, those Offices will accept the present Notice as conclusive evidence that the communication of the international application has duly taken place on the date of mailing indicated above and no copy of the international application is required to be furnished by the applicant to the designated Office(s).

2. The following designated Offices have waived the requirement for such a communication at this time:

AE,AG,AL,AM,AP,AT,AZ,BA,BB,BG,BR,BY,BZ,CA,CH,CN,CR,CU,CZ,DE,DK,DM,DZ,EA,EE,EP,ES, FI,GB,GD,GE,GH,GM,HR,HU,ID,IL,IN,IS,JP,KE,KG,KZ,LC,LK,LR,LS,LT,LU,LV,MA,MD,MG,MK, MN,MW,MX,MZ,NO,NZ,OA,PL,PT,RO,RU,SD,SE,SG,SI,SK,SL,TJ,TM,TR,TT,TZ,UA,UG,UZ,VN,YU, The communication will be made to those Offices only upon their request. Furthermore, those Offices do not require the applicant to furnish a copy of the international application (Rule 49.1(a-bis)).

3. Enclosed with this Notice is a copy of the international application as published by the International Bureau on 22 March 2001 (22.03.01) under No. WO 01/20323

REMINDER REGARDING CHAPTER II (Article 31(2)(a) and Rule 54.2)

If the applicant wishes to postpone entry into the national phase until 30 months (or later in some Offices) from the priority date, a demand for international preliminary examination must be filed with the competent International Preliminary Examining Authority before the expiration of 19 months from the priority date.

It is the applicant's sole responsibility to monitor the 19-month time limit.

Note that only an applicant who is a national or resident of a PCT Contracting State which is bound by Chapter II has the right to file a demand for international preliminary examination.

REMINDER REGARDING ENTRY INTO THE NATIONAL PHASE (Article 22 or 39(1))

If the applicant wishes to proceed with the international application in the national phase, he must, within 20 months or 30 months, or later in some Offices, perform the acts referred to therein before each designated or elected Office.

For further important information on the time limits and acts to be performed for entering the national phase, see the Annex to Form PCT/IB/301 (Notification of Receipt of Record Copy) and Volume II of the PCT Applicant's Guide.

The Internati nal Bureau of WIPO 34, chemin des Colombettes 1211 Geneva 20, Switzerland

Authorized officer

J. Zahra

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To:

PCT

INFORMATION CONCERNING ELECTED OFFICES NOTIFIED OF THEIR ELECTION

(PCT Rule 61.3)

BELZ, John, M.	27 E 10	• •
BELZ, John, M. Leydig, Voit & Mayer, Ltd. 19 19 19 19 19 19 19 19 19 19 19 19 19		
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Suite 300 AUG 28	2001 民	,
Washington, DC 20005	1	
ETATS-UNIS D'AMERIQUE		
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Date of mailing (day/month/year)

15 August 2001 (15.08.01)

IMPORTANT INFORMATION

Applicant's or agent's file reference 440325/PALL

International application No. PCT/US00/25092

International filing date (day/month/year)
14 September 2000 (14.09.00)

Priority date (day/month/year)

17 September 1999 (17.09.99)

Applicant

PALL CORPORATION et al

1. The applicant is hereby informed that the International Bureau has, according to Article 31(7), notified each of the following Offices of its election:

EP:AT,BE,CH,CY,DE,DK,ES,FI,FR,GB,GR,IE,IT,LU,MC,NL,PT,SE
National:AU,BG,CA,CN,CZ,DE,IL,JP,KP,KR,MN,NO,NZ,PL,RO,RU,SE,SK,US

2. The following Offices have waived the requirement for the notification of their election; the notification will be sent to them by the International Bureau only upon their request:

AP:GH,GM,KE,LS,MW,MZ,SD,SL,SZ,TZ,UG,ZW

EA: AM, AZ, BY, KG, KZ, MD, RU, TJ, TM

OA:BF,BJ,CF,CG,CI,CM,GA,GN,GW,ML,MR,NE,SN,TD,TG

National :AE,AG,AL,AM,AT,AZ,BA,BB,BR,BY,BZ,CH,CR,CU,DK,DM,DZ,EE,ES,FI,GB,GD,GE,GH,GM,HR,HU,ID,IN,IS,KE,KG,KZ,LC,LK,LR,LS,LT,LU,LV,MA,MD,MG,MK,MW,

MX,MZ,PT,SD,SG,SI,SL,TJ,TM,TR,TT,TZ,UA,UG,UZ,VN,YU,ZA,ZW

3. The applicant is reminded that he must enter the "national phase" before the expiration of 30 months from the priority date before each of the Offices listed above. This must be done by paying the national fee(s) and furnishing, if prescribed, a translation of the international application (Article 39(1)(a)), as well as, where applicable, by furnishing a translation of any annexes of the international preliminary examination report (Article 36(3)(b) and Rule 74.1).

Some offices have fixed time limits expiring later than the above-mentioned time limit. For detailed information about the applicable time limits and the acts to be performed upon entry into the national phase before a particular Office, see Volume II of the PCT Applicant's Guide.

The entry into the European regional phase is postponed until 31 months from the priority date for all States designated for the purposes of obtaining a European patent.

The Internati nal Bureau f WIPO 34, ch min des Colombettes 1211 Geneva 20, Switzerland Authorized officer:

Maria Kirchner

Telephone No. (41-22) 338.83.38

Facsimile No. (41-22) 740.14.35

PATENT COOPERATION TREATY

From the	MINING AUTHORITY			
To:		·		
JOHN M. BELZ LEYDIG, VOIT & MAYER, LTD. 700 THIRTEENTH STREET, N.W. SUITE 300 WASHINGTON DC 20005	1 200	OF DEMAND I PRELIMIN	OTIFICATION OF RECEIPT BY COMPETENT INTERNATIONAL ARY EXAMINING AUTHORITY	
: <u>.</u>			593(e) and 61.1(b), first sentence trative Instructions, Section 601(a))	
		Date of mailing (day/month/year)	3 OMAY 2001	
Applicant's or agent's file reference 440325/PALL		IMP	ORTANT NOTIFICATION	
International application No. PCT/US00/25092	International filing date 14 SEP 00	e (day/month/year)	Priority date (day/month/year) 17 SEP 99	
Applicant PALL CORPORATION				
1. The applicant is hereby notified that this International Preliminary Examining Authority considers the following date as the date of receipt of the demand for international preliminary examination of the international application: APR 2001				
Name and mailing address of the IPE Assistant Commissioner for Patents Box PCT Washington, D.C. 20231 Facsimile No.	Attn: IPEA/US	Authorized office	eorgette Hiji Tonal Services Division	

Form PCT/IPEA/402 (July 1998)

(12) INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

CORRECTED VERSION

(19) World Intellectual Property Organization International Bureau





(43) International Publication Date 22 March 2001 (22.03.2001)

PCT

(10) International Publication Number WO 01/020323 A1

(51) International Patent Classification7: 15/14

G01N 33/28,

(74) Agent: BELZ, John, M.; Leydig, Voit & Mayer, Ltd., 700 Thirteenth Street, N.W., Suite 300, Washington, DC 20005 (US).

(81) Designated States (national): AE, AG, AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, BZ, CA, CH, CN, CR, CU, CZ,

DE, DK, DM, DZ, EE, ES, FI, GB, GD, GE, GH, GM, HR,

HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR,

patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE), OAPI patent (BF, BJ, CF, CG,

PCT/US00/25092 (21) International Application Number:

(22) International Filing Date:

14 September 2000 (14.09.2000)

(25) Filing Language:

English

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English

(30) Priority Data:

60/154,597

17 September 1999 (17.09.1999) US

(71) Applicant (for all designated States except US): PALL CORPORATION [US/US]; 2200 Northern Boulevard, East Hills, NY 11548-1209 (US).

(72) Inventors; and

(75) Inventors/Applicants (for US only): BENSCH, Leonard, E. [US/US]; 24 Branding Iron Lane, Glen Cove, NY 11542 (US). REED, Ian [GB/GB]; 28 Sennen Place, Port Solent, Portsmouth, Hampshire P06 4SZ (GB). SIMKINS, Robert [US/US]; 21 Old Hills Lane, Greenlawn, NY 11740 (US). NEEDLEMAN, William [US/US]; 8 Hillside Court, Huntington, NY 11743 (US).

LS, LT, LU, LV, MA, MD, MG, MK, MN, MW, MX, MZ, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, SL, TJ, TM, TR, TT, TZ, UA, UG, US, UZ, VN, YU, ZA, ZW. (84) Designated States (regional): ARIPO patent (GH, GM, KE, LS, MW, MZ, SD, SL, SZ, TZ, UG, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European

CI, CM, GA, GN, GW, ML, MR, NE, SN, TD, TG).

Published:

with international search report

(48) Date of publication of this corrected version:

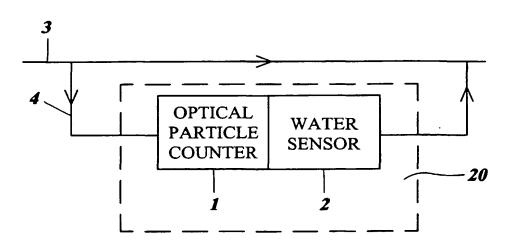
3 October 2002

(15) Information about Correction:

see PCT Gazette No. 40/2002 of 3 October 2002, Section

[Continued on next page]

(54) Title: METHODS AND SYSTEMS FOR COUNTING PARTICLES AND SENSING WATER



(57) Abstract: A system (20) for sampling a non-aqueous liquid comprises an optical particle counter (1) and a water sensor (2).

10088175.181308

WO 01/020323 A1



For two-letter codes and other abbreviations, refer to the "Guidance Notes on Codes and Abbreviations" appearing at the beginning of each regular issue of the PCT Gazette.

Methods and Systems for Counting Particles and Sensing Water

TECHNICAL FIELD

The present invention relates to methods and systems that improve the reliability of optical particle counters by assessing the presence and/or influence of water. More particularly, the present invention relates to methods and systems that optically count particulates present in non-aqueous liquids, such as oils, fuels, and other hydrocarbons, while accounting for the deleterious effect on accurate particle readings caused by the presence of water in the non-aqueous liquids.

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BACKGROUND OF THE INVENTION

Non-aqueous liquids, particularly hydrocarbons such as transformer oils, motor oils, transmission fluids, and fuels may become contaminated with particulates during use. These contaminated liquids cause corrosion, wear, mechanical damage to and/or poor performance in the systems in which they are used. Accordingly, it is desirable to detect the presence and quantity of particulates present in these systems to determine when the liquid must be cleaned, processed or replaced.

Several methods exist for detecting particulates in liquids, including non-aqueous liquids. In one method, a sample is taken from the fluid path to a testing facility, mixed with a reagent and the quantity of particulates determined. This method is inefficient as it requires excess time and often leads to inaccurate results caused by contamination during transfer. In another method the liquid is redirected through a slipstream where the liquid is filtered for particulates. The quantity of particulates in the liquid may be inferred by sensing the change in the pressure drop across the filter. This method is reactionary and is ineffective in accurately counting the particulates present and maximizing utilization of the liquid.

In yet another method, a sample is taken, for example, in a slipstream, and the particulates are counted by an optical particle counter. This method is generally highly efficient, and, under the appropriate conditions, extremely accurate. However, counts from optical particle counters are dramatically influenced by the level of water present in a non-aqueous liquid. In many instances, erroneous counts are produced by the presence of water and the operator has no convenient, real time method to know that the counts are wrong. Some conventional solutions solved this problem by using methods, such as heating, to remove the water from the non-aqueous liquid to be tested so that a proper count could be achieved.

However, this method may adversely affect the non-aqueous liquid and fails to address the combinatorial effect of water and particulate contamination on non-aqueous liquids.

Summary of the Invention

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According to one aspect of the invention, systems for sampling a non-aqueous liquid may include both an optical particle counter and a water sensor. The optical particle counter generates a signal indicative of the number of particles in the non-aqueous liquid, and the water sensor generates a signal indicative of the water content of the non-aqueous liquid.

According to another aspect of the present invention, methods for sampling a non-aqueous liquid may include directing a non-aqueous liquid into an optical particle counter and sensing the water content of the non-aqueous liquid.

Systems and methods embodying these aspects of the invention thus allow an operator to easily determine whether the particle count is suspect due to the water content of the non-aqueous liquid. If the water content reaches a level that may negatively influence the particle count, the operator knows that the particle count may be unreliable and he may take appropriate action.

According to another aspect of the present invention, methods for sampling a non-aqueous liquid may include sensing the water content of a non-aqueous liquid. The methods may further include directing the non-aqueous liquid to or away from an optical particle counter in response to the water content.

In systems and methods embodying this aspect of the invention, the non-aqueous liquid may be directed to the optical particle counter if the water content is below a value that can negatively influence the particle count. If the water content reaches a value where the optical counter will likely produce an erroneous result, the non-aqueous liquid may be redirected away from the optical particle counter. When the non-aqueous liquid is directed away from the optical particle counter, various embodiments provide for alternative particulate indicators, treatment units for decreasing the water content, and/or bypass lines.

Description of the Drawings

Figure 1 illustrates a system for sampling a non-aqueous liquid.

Figure 2 illustrates another system for sampling a non-aqueous liquid.

Figure 3 illustrates another system for sampling a non-aqueous liquid.

Figure 4 illustrates another system for sampling a non-aqueous liquid.

Detailed Description of the Invention

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Counting particulates and sensing the water content of non-aqueous liquids, such as transformer oils, motor oils, transmission fluids, and fuels, may be accomplished by operatively coupling corresponding sensors to the non-aqueous liquid in a variety of locations. For example, the sensors may be operatively located directly in a main stream of the non-aqueous liquid or in a slipstream, e.g., a flow of the non-aqueous liquid through a secondary path. Using a slipstream for testing is generally preferable, although it is not required, so as to avoid affecting the main stream, for example, when sensors malfunction or require routine maintenance. Alternatively, the optical particle counter and/or the water sensor may be operatively coupled to the non-aqueous liquid in a reservoir or a container, such as a tank or bottle.

Examples of systems for sampling a non-aqueous liquid, including counting particles and sensing water content, are illustrated in Figures 1 and 2. The sampling systems 20, 21 generally comprise an optical particle counter 1 and a water sensor 2, which may be disposed to sample the non-aqueous liquid in a slipstream 4 that is redirected away from and back toward a main stream 3. The optical particle counter 1 and the water sensor 2 may be operatively coupled to the nonaqueous liquid in series, with the optical particle counter 1 upstream, preferably closely upstream, of the water sensor 2, as shown in Figure 1, or with the optical particle counter downstream, preferably closely downstream, of the water sensor. Alternatively, as shown in Figure 2, the optical particle counter 1 and the water sensor 2 may be operatively coupled to the non-aqueous liquid in parallel. The water sensor 2 and the optical counter 1 are preferably placed sufficiently close in proximity that one of these components samples substantially similar portions of the non-aqueous liquid, e.g., the same portion of the non-aqueous liquid, soon after the other, as shown in Figure 1, or at approximately the same time as the other, as in Figure 2. The optical particle counter 1 and the water sensor 2 may be implemented as separate components which sample the non-aqueous liquid separately, or they may be implemented as an integral unit which senses the number of particles and the water content in a sample of nonaqueous liquid at substantially the same time.

A wide variety of optical particle counters may be utilized with embodiments of the invention. Optical particle counters are preferred because of their accuracy and reliability in counting particulates present in a liquid. Many conventional optical particle counters comprise a chamber for testing a liquid, a light source that produces a beam of light that is received through a slit into the chamber and reflected through the liquid, and a measuring device for measuring the amount of obscuration or scattering caused in the beam of light. These and other optical systems provide particularly accurate counts under appropriate conditions. Optical particle counters are

readily available from many companies, including Pacific Scientific, that make a full line of optical counters that count particulates with various degrees of accuracy.

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Similarly, a wide variety of water sensors may be utilized with embodiments of the invention. For example, several water sensors are disclosed in International Publication No. WO 98/46984, entitled "Methods and Systems for Sensing Water in Liquids", assigned to Pall Corporation, and incorporated herein by reference. Water sensors may measure absolute water content, relative saturation water content or both. Water sensors may be implemented in a variety of ways. For example, many conventional water sensors measure the change in potential across a sample of non-aqueous liquid caused by the increase in conductivity due to the presence of water. Water sensors may also include temperature sensors to account for changes in the water content with temperature variations. Water sensors are readily available from many companies, including Vaisala Company and Pall Corporation.

In a preferred mode of operation, a portion of the non-aqueous liquid flowing in the main stream 3 may be directed into the slipstream 4, past the optical particle counter 1 and the water sensor 2, and back to the main stream 3. The non-aqueous liquid may be directed past the optical particle counter before, after or at substantially the same time that the non-aqueous liquid is directed past the water sensor, depending, for example, on whether the optical particle counter is upstream, downstream, in parallel with, or integrated with the water sensor. As the non-aqueous liquid flows past the optical particle counter 1, it generates a signal indicative of the number of particles in the non-aqueous liquid. As the non-aqueous liquid flows past the water sensor, it generates a signal indicative of the water content of the non-aqueous liquid.

The optical particle counter 1 and the water sensor 2 may each comprise a processing circuit and a display that receive the various signals produced by their corresponding counting or sensing implementation and produce a visual indication indicative of the results, which may then be interpreted by an operator. The visual indication may be a readout of the particle count or the water content. The water sensor may provide a different visual indication, e.g., one which simply indicates one or more water content ranges. With the water sensor 2 and the optical particle counter 1 sufficiently close, the operator may conveniently and reliably utilize the visual indication of the water sensor to determine the implications of the water content on the particle count. The water sensor thus provides a reliability indicator to determine if the optical particle counter is producing a reliable result due to the presence of water in the non-aqueous liquid. For many non-aqueous liquids, a higher water content may indicate a less reliable particle count.

Another example of a system for sampling a non-aqueous liquid is shown in Fig. 3. The system 22 includes an optical particle counter 1 and a water sensor 2 disposed in a slipstream 4 of a main stream 3 with the optical particle counter 1 upstream of the water sensor 2. However,

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the optical particle counter and the water sensor may be operatively coupled to the non-aqueous liquid in any other suitable manner, as previously described. For example, the optical particle counter and the water sensor may be disposed in the main stream, may be disposed in series with the water sensor upstream of the optical particle counter, may be disposed in parallel or may be integrated with one another.

The sampling system 22 may further include a processing circuit 5 and a display 6. The processing circuit 5 may be coupled to at least one of and preferably both of the optical particle counter 1 and the water sensor 2. The display 6 may be coupled to at least one of the optical particle counter 1, the water sensor 2, and the processing circuit 5, preferably at least the processing circuit 5. In the illustrated embodiment, the processing circuit 5 is shown as a separate component and it may be implemented in any suitable manner, e.g., as a general purpose computer, a microprocessor, a logic array, or any other suitable processing circuitry. Similarly, the display 6 is shown as a separate component, and it may be implemented in any suitable manner, e.g., as a CRT or a flat panel display and/or one or more lightable indicators. However, the processing circuit or the display or both may be integral components of one another, the optical particle counter and/or the water sensor. For example, the processing circuit and the display may be implemented as a computer with a flat panel or CRT display and the computer may be connected to an integral unit comprising the optical particle counter and the water sensor. Regardless of how the processing circuit is implemented, the processing circuit may store data received from the optical particle counter and/or the water sensor so it may be viewed immediately or at a later time by the operator. The processing circuit may also download the data to other processing circuits, e.g., computers for further display or analysis.

In a preferred mode of operation, a portion of the non-aqueous liquid may be directed from the main stream 3 into the slipstream 4, past the optical particle counter 1 and the water sensor 2, and back to the main stream 3, as previously described. As the non-aqueous liquid flows past the optical particle counter 1 and the water sensor 2, they respectively generate a signal indicative of the number of particles in the non-aqueous liquid and a signal indicative of the water content of the non-aqueous liquid.

The processing circuit 5 may respond to the signals input from the optical particle counter 1 and/or water sensor 2 in a variety of ways. For example, the processing circuit 5 may receive a signal indicating water content from the water sensor 2 and a signal indicating the particle count from the optical particle counter 1 and then simply generate display signals. The display signals may be transferred to the display 6 and result in a readout of the particle count as determined by the optical particle counter 1 and a visual indication of the water content, e.g., a readout of the water content, as determined by the water sensor 2. As disclosed with respect to the

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embodiments shown in Figures 1 and 2, the water content indication of the water sensor provides a reliability indicator for the particle count. If the reliability indicator indicates that the particle count is sufficiently unreliable, the operator may simple ignore the count.

Alternatively, the processing circuit 5 may include one or more subcircuits for further processing signals input from the optical particle counter 1 and/or the water sensor 2. For example, the processing circuit 5 may include a threshold subcircuit which may store one or more threshold values. Each threshold value may correspond to a water content in a given non-aqueous liquid which calls into question a particle count produced by the optical particle counter 1. For example, for a given non-aqueous liquid the particle count may be substantially accurate below a first predetermined water content value, e.g., below a relative saturation value of, say, up to 90% or more; may be somewhat inaccurate between the first predetermined water content value and a second predetermined water content value, e.g., between relative saturation values of, say, 90% and up to about 100% or more; and may be substantially inaccurate above the second predetermined water content value, e.g., above the relative saturation value of 100%. Values of 90% and 100% for the first and second predetermined water content values, respectively, are merely exemplary. Each predetermined water content value may vary depending on factors such as the nature of the non-aqueous liquid and the type of optical particle counter and may be determined empirically.

The threshold subcircuit may store the predetermined value(s), e.g., the first and second predetermined values, as the threshold values and may compare them to the water content signal received from the water sensor. The threshold subcircuit may be configured in any suitable manner for storing the threshold value(s) and performing the comparison. For example, the threshold subcircuit may be implemented as a memory containing a threshold lookup table, a comparator for comparing the water content signal with the stored threshold values, and control logic for determining a course of action based on the comparison results. The processing circuit 5 may then generate a display signal indicative of the number of particles in the non-aqueous liquid and one or more display signals in accordance with the output of the threshold subcircuit.

The display 6 may be configured in a variety of ways to provide an indication of the particle count and an indication of the water content as a reliability indicator for the displayed particle count. For example, the display 6 may include several lightable indicators, e.g., green, yellow, and red lamps, in addition to a particle count readout. Depending on the output of the threshold subcircuit and, in turn, the display signals generated by the processing circuit 5:. (1) the green lamp may be lit if the water content is in a first reliability range, i.e., below the first threshold value, signalling the operator that the displayed particle count is likely to be substantially accurate; (2) the yellow lamp may be lit if the water content is in a second

reliability range, i.e., between the first and second threshold values, signalling the operator that the displayed particle count is likely to somewhat inaccurate; and (3) the red lamp may be lit if the water content is in a third reliability range, i.e., above the second threshold value, signalling the operator that the displayed particle count is likely to be substantially inaccurate. While the threshold subcircuit and the display have been described in terms of two threshold values and three reliability ranges, more or fewer threshold values and reliability ranges may be provided. Further, while the reliability ranges have been implemented in the display by lightable indicators such as lamps, other visual indications and/or audible indications, such as alarms, may be used.

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In yet another alternative, the processing circuit may include circuitry which adjusts the indication of the particle count input from the particle counter 1 in accordance with the indication of the water content input from the water sensor 2. For example, the water content in a given non-aqueous liquid may falsely increase, or decrease, the particle count sensed by the optical particle counter 1. The relationship between the water content and the excess counts, or the count shortfall, may be empirically determined for the non-aqueous liquid and implemented in the processing circuit 5, e.g., in an adjustment subcircuit. This implementation may be configured in a variety of ways, including a lookup table or a logic array. In any event, the adjustment subcircuit may operate on the signal input from the optical particle counter 1 in accordance with the signal input from the water sensor 2 to provide an adjusted indication of the particle count which more accurately represents the true particle count. The processing circuit 5 may then generate a display signal in accordance with an adjusted particle count signal and transfer the display signal to the display 6. The display 6 may then provide a readout of the adjusted particle count. The display 6 may also provide a reliability indication such as a readout of the water content or a visual indication of the reliability range. However, because the processing circuit 5 has adjusted the sensed particle count in accordance with the sensed water content to provide an adjusted, more accurate particle count, a reliability indicator may not be included with the display 6.

Another example of a system for sampling a non-aqueous liquid is shown in Figure 4. The sampling system 23 includes an optical particle counter 1, a water sensor 2, and a display 6 coupled to a processing circuit 5, as shown in Figure 3. The optical particle counter 1 and the water sensor 2 are disposed in a slipstream 4 of a main stream 3 with the optical particle counter 1 downstream of the water sensor 2. However, the components of this system may be implemented in other suitable configurations and disposed in the non-aqueous liquid in any other suitable manner, such as those previously described with respect to the embodiments of Figures 1-3. For example, the optical particle counter and the water sensor may be disposed in the main

stream, may be disposed in series with the water sensor downstream of the optical particle

counter, or may be disposed in parallel. However, in this embodiment, the optical particle counter and the water sensor are preferably not located at the same point in the non-aqueous liquid stream.

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The sampling system further includes a valve arrangement coupled to the processing circuit 5. The valve arrangement may be implemented in a wide variety of ways. In the illustrated embodiment, the valve arrangement comprises first, second, and third valves 7, 8, 9, each coupled to the processing circuit 5. The valve arrangement allows the non-aqueous liquid to be directed to the optical particle counter 1 or away from the optical particle counter 1 in accordance with the water content sensed by the water sensor 2. For example, the processing circuit 5 may receive a water content signal input from the water sensor 2 and determine if the water content is within or outside of a range in which the optical particle counter 1 provides a reliable, accurate particle count. This function may be implemented, for example, in a threshold subcircuit similar to the threshold subcircuit previously described. If the water content is within the range, the processing circuit 5 may generate a valve control signal which operates the first valve 7 to direct the non-aqueous liquid from the water sensor 2 into the optical particle counter 1. A readout of the particle count and a reliability indicator may then be displayed on the display 6. If the water content is outside of the range in which the optical particle counter 1 provides a reliable, accurate particle count, the processing circuit 5 may generate a valve control signal which operates the first valve 7 to direct the non-aqueous liquid away from the optical particle counter 1.

When the non-aqueous liquid is directed away from the optical particle counter 1, it may be directed along a wide variety of suitable alternative flow paths. For example, the sampling system may include a treatment unit 10 which operates to decrease the water content in the non-aqueous liquid. The treatment unit may be implemented in a wide variety of suitable ways, including as a coalescing and/or separating assembly or a heater. The processing circuit 5 may generate a valve control signal which operates the second valve 6 to direct non-aqueous liquid into the treatment unit 10. Once the water content of the non-aqueous liquid has been decreased, the non-aqueous liquid may be directed back to the optical particle counter 1 to obtain a more accurate particle count. The non-aqueous liquid may pass directly from an output of the treatment unit 10 to the optical particle counter 1. The optical particle counter 1 may then provide a signal indicative of a particle count to the processing circuit 5 which then may be displayed on the display 6. However, because the particle count sensed by the optical particle counter 1 is based on a non-aqueous liquid having a lower water content than that sensed by the water sensor 2, the reliability indicator shown on the display 6 may be disabled. Alternatively, a second water sensor (not shown) may be operatively coupled to the non-aqueous liquid flow path

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between the treatment unit and the optical particle counter and may be coupled to the processing circuit. Consequently, when the processing circuit directs the non-aqueous liquid through the treatment unit, past the second water sensor, and to the optical particle counter, it may display the count indicated by the optical particle counter and the water content indicated by the second water sensor.

Alternatively, or additionally, when the non-aqueous liquid is directed away from the optical particle counter 1, it may simply be directed to a bypass line which returns the non-aqueous liquid to the main stream 4. For example, the processing circuit 5 may generate valve control signals which operate the second and third valves 8, 9 to direct the non-aqueous liquid through a bypass line 14 coupled to the slipstream 4 and hence the main stream 3. The processing circuit 5 and the display 6 may be configured in any suitable manner which provides an indication that the optical particle counter is being bypassed.

As yet another alternative, or addition, when the non-aqueous liquid is directed away from the optical particle counter it may be directed to another particulate indicator 11, for example, any particulate indicator other than an optical particle counter which is less sensitive to water content. Preferably, the particulate indicator 11 includes a porous medium, such as a porous mesh, through which the non-aqueous liquid flows. A fluid flow characteristic, such as differential pressure across the porous medium, is sensed to provide an indication of the quantity of particulates in the non-aqueous liquid. The particulate indicator 11 may generate a signal indicative of the quantity of particulates sensed and the signal may be provided to the processing circuit 5. The processing circuit 5, in turn, may display the particulate indication on the display 6, with or without the reliability indicator. From the particulate indicator 11, the non-aqueous liquid may be returned to the main stream 3.

The sampling system 23 shown in Figure 4 may operate independently of any main system controller or it may operate in conjunction with a main system controller. For example, the processing circuit 5 may be coupled to a system controller 12 to provide a variety of data and instructions between them. For example, the processing circuit 5 may relay the water content signal provided by the water sensor 2, the particle count signal generated by the optical particle counter 1, and/or the particulate signal generated by the particulate indicator 11 to the system controller 12. Depending on the value of the signals, the system controller 12 may then control the main system in a variety of ways. For example, if the water content or the particulate content as indicated by the water sensor 2, the optical particle counter 1 or the particulate indicator 11 is unusually high, the system controller may shut off the main stream 3, e.g., by operating a main valve 13 accordingly.

Various aspects of the invention have been described with respect to many embodiments. However, the invention is not limited to these embodiments. For example, one or more of the features of any of these embodiments may be combined with one or more of the features of the other embodiments without departing from the scope of the invention. Further, one or more of the features of any of these embodiments may be modified or omitted without departing from the scope of the invention. Accordingly, the various aspects of the invention include any and all methods and systems encompassed within the spirit and scope of the invention as defined by the following claims.

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What is claimed is:

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1. A system for sampling a non-aqueous liquid comprising:

a water sensor capable of being coupled to a non-aqueous liquid to sense an indication of the water content of the non-aqueous liquid; and

an optical particle counter capable of being coupled to the non-aqueous liquid to provide an indication of the number of particulates present in the non-aqueous liquid.

- 2. The system of claim 1 wherein the water sensor and the optical particle counter are disposed in a slipstream of the non-aqueous liquid.
 - 3. The system of claim 1 or 2 wherein the water sensor is disposed downstream of the optical particle counter.
- 4. The system of claim 1 or 2 wherein the water sensor is disposed upstream of the optical particle counter.
 - 5. The system of claim 1 or 2 wherein the water sensor and the optical particle counter are disposed in parallel in the non-aqueous liquid.
 - 6. The system of any preceding claim wherein the water sensor generates a signal indicative of relative saturation water content.
- 7. The system of any of claims 1-5 wherein the water sensor generates a signal indicative of absolute water content.
 - 8. The system of any preceding claim wherein the water sensor provides a display indicative of water content.
- 9. The system of claim 8 wherein the water sensor provides the display in accordance with one or more threshold values.
 - 10. The system of any preceding claim wherein the optical particle counter generates a signal indicative of the number of particles in the non-aqueous liquid.

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11. The system of any preceding claim wherein the optical particle counter provides a display indicative of the number of particles in the non-aqueous liquid.

12. The system of any preceding claim further comprising a processing circuit operatively coupled to at least one of the water sensor and the optical particle counter.

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- 13. The system of claim 12 wherein the processing circuit receives a signal indicative of the water content from the water sensor.
- 10 14. The system of claim 12 or 13 wherein the processing circuit receives a signal indicative of the particle count from the optical particle counter.
 - 15. The system of any of claim 12-14 wherein the processing circuit includes a subcircuit which signals implications of the water content on the particle count.
 - 16. The system of claim 15 wherein the subcircuit signals implications of the water content on the particle count in accordance with one or more threshold values related to the water content.
- 17. The system of any of claims 12-16 further comprising a display coupled to at least one of the optical particle counter, the water sensor, and the processing circuit.
 - 18. The system of claim 17 wherein the display provides an indication of the particle count and an indication of the reliability of the particle count in accordance with the water content.
- 25 19. The system of claim 18 wherein the display provides an indication of the reliability of the particle count in accordance with one or more threshold values related to the water content.
 - 20. The system of any of claims 17-19 wherein the display provides a readout of the particle count and/or an indication of the water content.
 - 21. The system of any of claims 12-20 further comprising a valve arrangement coupled to the processing circuit.

22. The system of claim 21 wherein the processing circuit and the valve arrangement are arranged to direct non-aqueous liquid away from the optical particle counter in accordance with the signal indicative of the water content.

- 5 23. The system of any of claims 21 and 22 further comprising a treatment unit coupled to the valve arrangement and arranged to decrease the water content in the non-aqueous liquid.
 - 24. The system of claim 23 wherein the treatment unit includes an outlet coupled to the optical particle counter.

25. The system of any of claims 21-24 further comprising a bypass line coupled to the valve arrangement and arranged to bypass the optical particle counter.

- 26. The system of any of the preceding claims wherein the water sensor and the optical particle counter comprise an integral unit.
 - 27. A method for sampling a non-aqueous liquid comprising:

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directing the non-aqueous liquid into an optical particle counter and generating a signal indicative of the number of particles present in the non-aqueous liquid and

- sensing the water content of the non-aqueous liquid.
- 28. The method of claim 27 wherein the non-aqueous liquid is directed into the optical particle counter after sensing the water content of the non-aqueous liquid.
- 25 29. The method of claim 27 wherein the non-aqueous liquid is directed into the optical particle counter before sensing the water content of the non-aqueous liquid.
 - 30. The method of claim 27 wherein the non-aqueous liquid is directed into the optical particle counter at substantially the same time as sensing the water content of the non-aqueous liquid.
 - 31. The method of any of claims 27-30 further comprising displaying an indication of the number of particles.
- 32. The method of claim 31 wherein displaying an indication of the number of particles includes displaying a readout of the number of particles.

33. The method claim 31 or 32 further comprising displaying an indication of the reliability of the displayed number of particles.

- 5 34. The method of any of claims 31-33 further comprising displaying an indication of the water content.
 - 35. The method of claim 34 wherein displaying an indication of the water content includes displaying a readout of the water content.
 - 36. The method of claim 34 or 35 wherein displaying an indication of the water content includes displaying an indication of one or more water content ranges.
 - 37. A method for sampling a non-aqueous liquid comprising:

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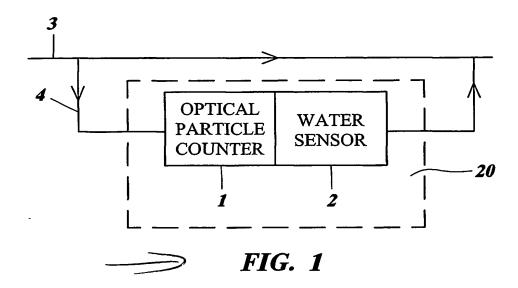
- sensing an indication of the water content of the non-aqueous liquid; and in response to the water content indication either (1) directing the non-aqueous liquid into an optical particle counter and generating a signal indicative of the number of particles in the non-aqueous liquid or (2) directing the non-aqueous liquid away from the optical particle counter.
 - 38. The method of any of claim 37 wherein directing the non-aqueous liquid away from the optical particle counter includes directing the non-aqueous liquid into a treatment unit which decreases the water content of the non-aqueous liquid.
- 39. The method of claim 38 further comprising directing the non-aqueous liquid from the treatment unit into an optical particle counter.
 - 40. The method any of claims 37-39 wherein directing the non-aqueous liquid away from the optical particle counter includes bypassing the optical particle counter.
 - 41. The method of any of claims 37-40 wherein directing the non-aqueous liquid away from the optical particle counter includes directing the non-aqueous liquid into a particulate indicator.

42. The method of claim 41 wherein directing the non-aqueous liquid into a particulate indicator includes passing the non-aqueous liquid through a porous medium and sensing a characteristic of non-aqueous liquid flow through the porous medium.

- 5 43. The method of claim 42 wherein sensing a characteristic of non-aqueous liquid flow through the porous medium includes sensing the pressure differential across the porous medium.
 - 44. The method of any of claims 27-43 wherein sensing an indication of the water content includes sensing an indication of the relative saturation water content of the non-aqueous liquid.
 - 45. The method of any of claims 27-43 wherein sensing an indication of the water content includes sensing an indication of the absolute water content of the non-aqueous liquid.

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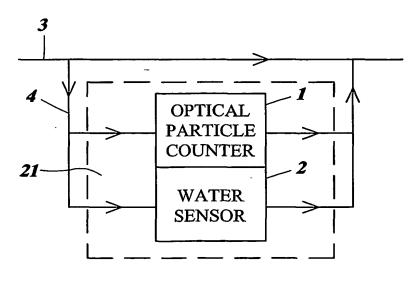


FIG. 2

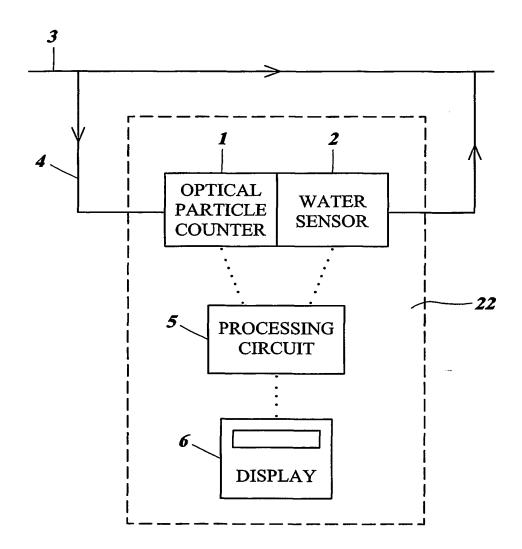


FIG. 3

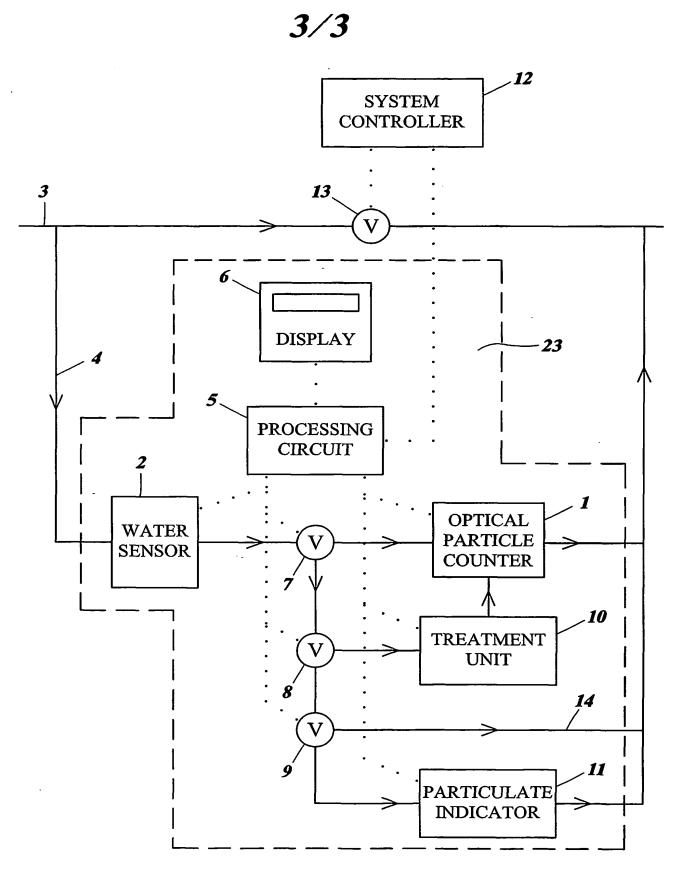


FIG. 4

International Application No PC7 00/25092

A. CLASSIFICATION OF SUBJECT MATTER IPC 7 G01N33/28 G01N G01N15/14

According to International Patent Classification (IPC) or to both national classification and IPC

B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

G01N

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

EPO-Internal, PAJ, WPI Data, INSPEC

C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category °	Citation of document, with indication, where appropriate, of th	e relevant passages	Relevant to claim No.	
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X	KORANE K J: "KEEPING A WATCHF HYDRAULIC CONTAMINATION" MACHINE DESIGN,US,PENTON,INC. vol. 68, no. 3, 8 February 1996 (1996-02-08), 43-44,46, XP000581096 ISSN: 0024-9114 the whole document	CLEVELAND,	1-45	
A	EP 0 766 086 A (NGK INSULATORS 2 April 1997 (1997-04-02) the whole document 	LTD) -/	1-45	
X Furt	her documents are listed in the continuation of box C.	Patent family members are listed	in annex.	
 Special categories of cited documents: 'A' document defining the general state of the art which is not considered to be of particular relevance 'E' earlier document but published on or after the international filling date 'L' document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified) 'O' document referring to an oral disclosure, use, exhibition or other means 'P' document published prior to the international filing date but later than the priority date claimed 		or priority date and not in conflict with cited to understand the principle or the invention 'X' document of particular relevance; the cannot be considered novel or cannot involve an inventive step when the do 'Y' document of particular relevance; the cannot be considered to involve an in document is combined with one or more ments, such combination being obvious in the art. '&' document member of the same patent	 "X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone "Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled 	
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Name and I	mailing address of the ISA European Patent Office, P.B. 5818 Patentlaan 2 NL - 2280 HV Rijswijk Tel. (+31-70) 340-2040, Tx. 31 651 epo nl, Fax: (+31-70) 340-3016	Authorized officer Müller, T		

International Application No
PCT 00/25092

Category °	ation) DOCUMENTS CONSIDERED BE RELEVANT Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.	
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